Sheet1

OVERSIGHT OF MANAGED CARE

Consensus Overview by State Agencies

	Primary Regulatory Authority			Primarily F	Purchaser I	Roles (except federa	xcept federal ERISA oversight)	
	OIC	DOH	Other	DSHS	HCA	Federal Government	Private Purchasers, Accreditors & Associations	
FINANCE AND ORGANIZATION								
Solvency, reserves	R			Р	Р	R	P,V	
Pricing (including rating)	R	1		Р	Р	Р	Р	
Mergers and acquisitions			R					
BENEFITS	R	1		Р	Р	Р	P,V	
PROVIDERS								
Facility health and safety		R		R,P	Р	P	P,V	
Practitioner credentials/licensing		R		Р	Р	P,I	P,V	
Provider selection and termination				Р	Р			
Provider contracting	R	I		Р	Р			
Network adequacy	R			Р	Р			
Access standards and data about access	R	- 1		Р	Р		P,V	
CONSUMER RIGHTS								
Consumer information/disclosure	R,I	R,I		Р	Р		P,V	
Grievances and appeals	E,I	R,V		Р	Р		P,V	
Antidiscrimination	R	R,V		Р	Р	R	P,V	
Confidentiality of records- plans				Р	Р	R	P,V	
Confidentiality of records- providers		R		Р	Р			
QUALITY (see also providers)								
Clinical quality review, audit/UM				Р	Р		P,V	
Quality improvement structure and process		V		Р	Р		P,V	
Consumer satisfaction				P,I	P,I		P,V,I	
Quantitative quality measures		V,I		P,I	P,I	Р	P,V,I	
Practice Guidelines		V,I		Р	Р	V,I	V	

KEY:R = Regulatory RolesV = Voluntary StandardsP = Purchaser RolesI = Informational Roles